

License Guide – License Subscription
Oracle FLEXCUBE Universal Banking

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1. Preface

1.1 Introduction

This document helps you understand the guiding rules for Oracle FLEXCUBE Universal Banking License Subscription licensing, the components included in the license, and the units that are separately licensed.

This document also provides information on the third party software that are packaged with Oracle FLEXCUBE Universal Banking License Subscription.

1.2 Audience

This document is intended for the following audience:

- Customers
- Partners

1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookupctx=acc&id=docacc>.

1.4 Organization

This manual is organized into the following chapters:

Chapter	Description
Chapter 1	<i>Preface</i> gives information on the intended audience. It also lists the various chapters covered in this license guide.
Chapter 2	<i>Oracle FLEXCUBE Universal Banking License Subscription Licensing</i> provides information on Oracle FLEXCUBE Universal Banking License Subscription licensing.
Chapter 3	<i>Software Pre-requisites</i> consists of the technology pre-requisites required for Oracle FLEXCUBE Universal Banking License Subscription.
Chapter 4	<i>Third Party Licenses</i> provides information on licensing of third party software that are packaged with Oracle FLEXCUBE Universal Banking License Subscription.

1.5 Related Documents

For more information, refer to the following documents:

- End user license agreement
- Oracle FLEXCUBE User Manuals

2. Oracle FLEXCUBE Universal Banking License Subscription Licensing

2.1 Introduction

This chapter provides information on Oracle FLEXCUBE Universal Banking License Subscription licensing. It contains the following sections:

- General licensing rules
- Components included in the license
- Separately licensed pre-requisite products and licensing metric
- Restricted use license

2.2 General Licensing Rules

The following table lists out the modules, which are primarily retail or corporate. The licensing rule against each of them defines how these modules will get licensed based on the usage of the bank.

Sl. No.	Module Description	Licensing Rule(s)
Retail Modules		
1	Oracle FLEXCUBE Universal Banking Current Accounts and Savings Accounts License Subscription	If the customer is using Universal Banking for Retail programs, these applications may only be licensed by the Account metric. However, if the customer is using Universal Banking for Corporate, and is not using Universal Banking for Retail, then a customer may license these programs under the Application User metric.
2	Oracle FLEXCUBE Universal Banking Standing Instructions License Subscription	

Sl. No.	Module Description	Licensing Rule(s)
Corporate Modules		
3	Oracle FLEXCUBE Universal Banking Nostro Reconciliation License Subscription	If the customer is using Universal Banking for Corporate programs, these applications may only be licensed by the Application User. However, if the customer is using Universal Banking for Retail, and is not using Universal Banking for Corporate, then a customer may license these programs under the Account metric.
4	Oracle FLEXCUBE Universal Banking Commercial Leasing License Subscription	
5	Oracle FLEXCUBE Integration Gateway License Subscription	

2.3 Components Included in License

The following components are included in the Oracle FLEXCUBE Universal Banking License Subscription license:

1. **Signature Verification:** is included with Oracle FLEXCUBE Universal Banking Current Accounts and Savings Accounts License Subscription license.
2. **Islamic Banking Support:** The following Oracle FLEXCUBE Universal Banking License Subscription licenses have support for Islamic Banking.
 - Oracle FLEXCUBE Universal Banking Base License Subscription
 - Oracle FLEXCUBE Universal Banking Asset Management License Subscription
 - Oracle FLEXCUBE Universal Banking Current Accounts and Savings Accounts License Subscription
 - Oracle FLEXCUBE Universal Banking Fixed Assets License Subscription
 - Oracle FLEXCUBE Universal Banking Relationship Pricing License Subscription
 - Oracle FLEXCUBE Universal Banking Retail and Small and Medium Enterprise Loans License Subscription
 - Oracle FLEXCUBE Universal Banking Term Deposits License Subscription
 - Oracle FLEXCUBE Universal Banking Islamic Commercial Lending and Leasing License Subscription

2.4 Separately Licensed Pre-requisite Products and Licensing Metric

The module wise list of separately licensed products and the licensing metric is given below.

For other separately licensable software pre-requisites, refer to the section *Software Pre-requisites*.

Module Description	Separately Licensed Prerequisites	Licensing Metric(s)
Oracle FLEXCUBE Universal Banking Base* License Subscription	- Oracle FLEXCUBE Development Workbench License Subscription Oracle FLEXCUBE Integration Gateway License Subscription - Oracle Database Enterprise Edition, Partitioning, and TopLink, Application Development Framework	- Application User 1K Accounts 10K Financial Inclusion Accounts
Oracle FLEXCUBE Universal Banking Current Accounts and Savings Accounts License Subscription	Oracle FLEXCUBE Universal Banking Base License Subscription	- Application User 1K Accounts 10K Financial Inclusion Accounts
Oracle FLEXCUBE Universal Banking Relationship Pricing License Subscription	- Oracle FLEXCUBE Universal Banking Base License Subscription - Either Oracle FLEXCUBE Universal Banking Current Accounts & Savings Accounts License Subscription OR Oracle FLEXCUBE Universal Banking Term Deposits License Subscription	1K Accounts
Oracle FLEXCUBE Universal Banking Term Deposits License Subscription	Oracle FLEXCUBE Universal Banking Base License Subscription	1K Accounts
Oracle FLEXCUBE Universal Banking Retail and Small and Medium Enterprise Loans License Subscription	Oracle FLEXCUBE Universal Banking Base License Subscription	1K Accounts 10K Financial Inclusion Accounts
Oracle FLEXCUBE Universal Banking Nostro Reconciliation License Subscription	Oracle FLEXCUBE Universal Banking Base License Subscription	- Application User 1K Accounts
Oracle FLEXCUBE Universal Banking Standing Instructions License Subscription	Oracle FLEXCUBE Universal Banking Base License Subscription	- Application User 1K Accounts

Oracle FLEXCUBE Universal Banking ATM Interface License Subscription	• Oracle FLEXCUBE Universal Banking Base License Subscription	• 1K Accounts • 10K Financial Inclusion Accounts
Oracle FLEXCUBE Universal Banking Point of Sale Interface License Subscription	• Oracle FLEXCUBE Universal Banking Base License Subscription	• 1K Accounts • 10K Financial Inclusion Accounts
Oracle FLEXCUBE Universal Banking Interactive Voice Response Interface License Subscription	• Oracle FLEXCUBE Universal Banking Base License Subscription	• 1K Accounts
Oracle FLEXCUBE Universal Banking Mortgages License Subscription	• Oracle FLEXCUBE Universal Banking Base License Subscription	• 1K Accounts
Oracle FLEXCUBE Universal Banking Consumer Leasing License Subscription	• Oracle FLEXCUBE Universal Banking Base License Subscription	• 1K Accounts
Oracle FLEXCUBE Universal Banking Commercial Leasing License Subscription	• Oracle FLEXCUBE Universal Banking Base License Subscription	• Application User • 1K Accounts
Oracle FLEXCUBE Universal Banking Inventory Management License Subscription	• Oracle FLEXCUBE Universal Banking Base License Subscription • Oracle FLEXCUBE Universal Banking Current Accounts & Savings Accounts License Subscription	• 1K Accounts
Oracle FLEXCUBE Universal Banking Microfinance License Subscription	• Oracle FLEXCUBE Universal Banking Base License Subscription • Oracle FLEXCUBE Universal Banking Retail and Small and Medium Enterprise Loans License Subscription	• 1K Accounts
Oracle FLEXCUBE Universal Banking Safe Deposit Box License Subscription	• Oracle FLEXCUBE Universal Banking Base License Subscription	• 1K Accounts
Oracle FLEXCUBE Universal Banking Corporate Deposits License Subscription	• Oracle FLEXCUBE Universal Banking Base License Subscription	• Application User

Oracle FLEXCUBE Universal Banking Fixed Assets License Subscription	• Oracle FLEXCUBE Universal Banking Base License Subscription	• Application User
Oracle FLEXCUBE Universal Banking Asset Management License Subscription	• FLEXCUBE Universal Banking Base and Oracle Banking Treasury Management License Subscription	• Application User
Oracle FLEXCUBE Universal Banking Expense Processing License Subscription	• Oracle FLEXCUBE Universal Banking Base License Subscription	• Application User
Oracle FLEXCUBE Universal Banking Islamic Commercial Lending and Leasing License Subscription	• Oracle FLEXCUBE Universal Banking Base	• 1K Accounts Application User
Oracle FLEXCUBE Open Development Tools		
Oracle FLEXCUBE Development Workbench License Subscription	• Either of Oracle FLEXCUBE Universal Banking Base or Oracle Banking Enterprise Limits Management, Oracle Banking Collateral Management or Oracle Banking Corporate Lending, Oracle Banking Payments, Oracle Banking Trade Finance or Oracle Banking Treasury Management	• Application User
Oracle FLEXCUBE Interfaces and Tools		
Oracle FLEXCUBE Integration Gateway License Subscription	• Either of Oracle FLEXCUBE Universal Banking Base or Oracle Banking Enterprise Limits Management, Oracle Banking Collateral Management or Oracle Banking Corporate Lending, Oracle Banking Payments, Oracle Banking Trade Finance or Oracle Banking Treasury Management	• 10K API Calls • 1K Accounts Processor • 10K Financial Inclusion Account

* When Component pricing model is used, Oracle FLEXCUBE Universal Banking Base program should be licensed for cumulative number of Application Users of all the Oracle FLEXCUBE Universal Banking for corporate program licensed.

When licensing Oracle FLEXCUBE Universal Banking modules by Account metric, quantity of Accounts licensed for each Oracle FLEXCUBE Universal Banking module including Base must be same. The sum of Accounts across all licensed modules (excluding Base) on Account metric is to be used as the quantity to be licensed. For example, if customer needs 1,000,000 Accounts of Current Accounts and Savings Accounts, 400,000 Accounts of Term Deposits and 100,000 Accounts of Retail and Small and Medium Enterprise Loans; quantity of 1,500,000 Accounts needs to be licensed for **each** of Base, Current Accounts and Savings Accounts, Term Deposits and Retail and Small and Medium Enterprise Loans modules.

When licensing Oracle FLEXCUBE Universal Banking modules by Custom Suite User metric, quantity of CAS users licensed for each Oracle FLEXCUBE Universal Banking module including Base must be the same.

NOTE: # For sending SWIFT FIN messages generated in FLEXCUBE to SWIFT network or for sending FIN messages from SWIFT network to FLEXCUBE, Oracle Banking SWIFT Connectivity Pack SKU needs to be licensed.

2.5 Restricted Use License

The following modules have restricted features or functionality for use under the license metric Financial Inclusion Account.

Product or Component	Restrictions/Features Omitted
Oracle FLEXCUBE Universal Banking Base License Subscription	- Customer creation along with account creation is done in a single go without the option of Signature capture and verification. - These customers will not have the Limits linkage - Only Individual type of customers alone can be created. (Corporate/ Bank kind of customers cannot be created)
Oracle FLEXCUBE Universal Banking Current Accounts and Savings Accounts License Subscription	- System will allow the FI customer to open only a Single Holder savings CASA account and in branch currency only - Temporary OD on FI customer's CASA accounts is allowed for a short term without attaching any collateral or credit linebacking. - Allow mapping an account class with only a single IC product of type "Interest". This IC product is restricted to contain only general conditions and One Debit and One Credit product attached to it. - Check book and Debit card features are not available for FI customer accounts. - The account cannot be salary account

Product or Component	Restrictions/Features Omitted
Oracle Banking Branch License Subscription	• Allow only the below Branch transactions for a FI customer, • Cash Deposit • Cash Withdrawal • Transfers • Utility payment without external Utility Provider interface
Oracle FLEXCUBE Universal Banking ATM Interface License Subscription	No restrictions brought in.
Oracle FLEXCUBE Integration Gateway License Subscription	No restrictions brought in.
Oracle FLEXCUBE Universal Banking Retail and Small and Medium Enterprise Loans License Subscription	• Following loan features are not available for Financial Inclusion Customer ▪ Origination / Onboarding ▪ Auto disbursement ▪ Rate revisions ▪ Provisioning ▪ Re-negotiation ▪ Amendments • FI Loans are only created in Branch currency • A new screen is made available for loan account input for FI customer
Oracle FLEXCUBE Universal Banking Point of Sale Interface License Subscription	No restrictions brought in.

3. Environment Details

Following are the separately licensable software prerequisites for Oracle FLEXCUBE Universal Banking Products.

3.1 Software Prerequisites

3.1.1 Tech Stack – Oracle

Component	Deployment option	Machine	Operating System	Software	Version Number
Oracle FLEXCUBE Universal Banking	UI-Host and Centralized	Application Server	Oracle Enterprise Linux Server 8.3 (x86 64 Bit)	Java HotSpot(TM) JDK (with WebLogic Application Server)	11.0.16
				Open Symphony Quartz	2.3.2
				Oracle WebLogic Server	14.1.1.0.0
		Database Server	Oracle Enterprise Linux Server 8.3 (x86 64 Bit)	Oracle RDBMS Enterprise Edition	19.18.0.0.0
		Client Systems	Windows 10	Mozilla Firefox	102+
				Google Chrome	107+
				Microsoft Edge	107+
			Mac OS X	Mozilla Firefox	Mozilla Firefox for Mac (102+)
				Safari	15+
				Google Chrome	107+

Oracle FLEXCUBE Universal Banking Integration Gateway	Web services (incoming)	Integration Server	Oracle Enterprise Linux Server 8.3 (x86 64 Bit)	Oracle WebLogic Server	14.1.1.0.0
	HTTP Servlet (incoming)				
	EJB (incoming)				
	MDB (incoming)				
	Notifications (outgoing)				
Oracle FLEXCUBE Universal Banking	Switch Integration Gateway	Integration Server	Oracle Enterprise Linux Server 8.3 (x86 64 Bit)	JDK	11.0.16
Switch Integration Gateway					
Oracle FLEXCUBE Universal Banking REST	Web services	Integration Server	Oracle Enterprise Linux Server 8.3 (x86 64 Bit)	JDK	11.0.16
				Oracle WebLogic Server	14.1.1.0.0
				Oracle Toplink	14.1.1.0.0
Oracle FLEXCUBE Machine Learning	Centralized	Machine Learning Server	Oracle Enterprise Linux server 8.3 (x86 64 bit)	OML4R (Previously Oracle R Enterprise)	1.5.1
Oracle FLEXCUBE Oracle Digital Assistant Integration	Centralized	Application Server	Oracle Enterprise Linux server 8.3 (x86 64 bit)	oda-native-client-sdk-js	20.3.1

Note: Browser support is no longer based on Operating Systems but strictly tied to the browser themselves, no matter which Operating Systems they are installed on. Current release is certified on client workstations with Windows 7, 8, 10 and Mac OS X.

Client Machines#

For detailed information on Browser Support, please refer to the Oracle Software Web Browser Support Policy at: <https://www.oracle.com/middleware/technologies/browser-policy.html>

3.2 Module-wise Software Prerequisites

Product Name	Product Version	Requirements
Oracle Fusion Middleware Infrastructure	12.2.1.4.0	Optional - Oracle FLEXCUBE Universal Banking and Oracle FLEXCUBE Universal Banking Integration Gateway supports both Oracle Weblogic Server (Fusion Middleware) and WebSphere.
Java HotSpot(TM) JDK (with WebLogic Application Server)	11.0.16	Optional – Required for Oracle FLEXCUBE Universal Banking and Oracle FLEXCUBE Universal Banking Integration Gateway on WebLogic.
Open Symphony Quartz	2.3.2	Standard requirement
Oracle Toplink	14.1.1.0.0	Optional - Required only if limits tracking is required.
Oracle RDBMS Enterprise Edition	19.18.0.0.0	Standard requirement

4. Third Party Licenses

4.1 Introduction

This chapter provides information on licensing of third party software that are packaged with Oracle FLEXCUBE Universal Banking. It contains the following sections:

- Apache License Version 2.0
- MIT License
- Eclipse Public License 2.0
- BSD Licenses

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Tatu Saloranta (tatu.saloranta@iki.fi): author

Henning Schmiedehausen (hgschmie@github)

* Reported #11: Add `configureAbsentsAsNulls` config setting, for better compatibility with Guava module (2.6.0)

Jerome Loisel (jloisel@github)

* Reported #14: Missing `@type` when serializing Optional<Interface> (2.6.2)

Peter Royal (osi@github)

* Reported #20: fails to serialize empty Optional with @JsonUnwrapped annotation (2.6.4) * Reported #25: OptionalDoubleSerializer does not override acceptJsonFormatVisitor (2.6.4) * Reported,

contributed fix fo #26: `@JsonUnwrapped` on Optional value does not propagate prefix to schema
(2.6.4)

Nick Cronin (Quintok@github)

* Reported #21: Manifest file missing OSGi import for `com.fasterxml.jackson.databind.introspect`
(2.6.4)

James Lorenzen (jlorenzen@github)

* Requested #18: Allow use of `@JsonInclude(content=Include.NON\_EMPTY)` with `Optional<>` (2.7.0)

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javax.transaction:javax.transaction-api * javax.websocket:javax.websocket-api

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If ALPN is used to negotiate HTTP/2 connections, then the following artifacts may be included in the distribution or downloaded when ALPN module is selected.

* java.sun.security.ssl

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org.eclipse.jetty.toolchain:jetty-schemas

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library was originally written by Tatu Saloranta (tatu.saloranta@iki.fi)

Other developers who have contributed code are:

* Brian Langel

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Recursive fourth party components:-

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+ - org.springframework.boot:spring-boot-starter | + - org.springframework.boot:spring-boot | | \-
org.springframework:spring-context | | \- org.springframework:spring-expression | + -
org.springframework.boot:spring-boot-autoconfigure | + - org.springframework.boot:spring-boot-starter-
logging | | + - ch.qos.logback:logback-classic | | | + - ch.qos.logback:logback-core | | | \- org.slf4j:slf4j-api |
| + - org.apache.logging.log4j:log4j-to-slf4j | | | \- org.apache.logging.log4j:log4j-api | | \- org.slf4j:jul-to-
slf4j | + - jakarta.annotation:jakarta.annotation-api | + - org.springframework:spring-core | | \-
org.springframework:spring-jcl | \- org.yaml:snakeyaml

=====separator===== + -

org.springframework:spring-aop | \- org.springframework:spring-beans

=====separator===== \-

org.aspectj:aspectjweaver

=====END=====

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org.springframework.boot:spring-boot-starter org.springframework.boot:spring-boot

org.springframework.boot:spring-boot-autoconfigure org.springframework.boot:spring-boot-starter-
logging

org.springframework:spring-aop org.springframework:spring-beans org.springframework:spring-core
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ch.qos.logback:logback-classic ch.qos.logback:logback-core
```

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jackson-datatype-jsr310 jackson-module-parameter-names jakarta.annotation-api json-path json-smart
jul-to-slf4j log4j-api log4j-to-slf4j logback-classic logback-core slf4j-api snakeyaml spring-aop spring-
beans spring-boot spring-boot-autoconfigure spring-boot-starter spring-boot-starter-json spring-boot-
starter-logging spring-boot-starter-tomcat spring-boot-starter-web spring-context spring-core spring-
expression spring-hateoas spring-jcl spring-plugin-core spring-web spring-webmvc tomcat-embed-core
tomcat-embed-el tomcat-embed-websocket

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- web-common_3_0.xsd - web-fragment_3_0.xsd - javaee_7.xsd - javaee_web_services_1_4.xsd -

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